

Novel Adaptive Liquid Lens Actuated by Liquid Crystal Pistons

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Abstract

We demonstrate a novel adaptive liquid lens actuated by liquid crystal (LC) pistons. The dielectrophoretic force generated by the embedded in-plane-switching electrode causes the reciprocating movement of LC droplets which, in turn, changes the optical power of the resultant liquid lens. Such a microlens array is promising for 3D image processing.

Author Keywords

Liquid lens; liquid crystal; dielectrophoresis; 3D

1. Introduction

Two main approaches have been proposed to achieve a 3D display: 1) liquid crystal (LC) parallax barrier [1], and 2) LC lenticular microlens array [2]. The former is simple to fabricate and easy to operate. However, its optical throughput is reduced to 50% because it is comprised of alternate transmissive and opaque columns aligned with the LC pixels. Compared to parallax barrier, LC lenticular microlens array offers high transmittance, and has been widely employed for 3D displays. Adaptive liquid lenses, based on physical adjustment of the lens shape, also offer a possibility for 3D imaging processing. They have the advantages of intrinsic smooth interface, adaptively tuned or reconfigured output, polarization insensitive, broadband, and vibration resistance if two density-matched liquids are employed. Various operating principles have been proposed: fluidic pressure [3-15], electrochemistry [16], thermal effect [17], environmentally adaptive hydrogel [18], electro-wetting [19], and dielectrophoresis [20]. Among them, fluidic pressure is the most straightforward way to dynamically manipulate the optical interface formed by liquids. The fluidic pressure can be provided by external pumps [3], mechanical systems (e.g. piezoelectric actuator [4], servo motor [5], voice coil motor [6] and shape memory alloy [7]), electrostatic actuator [8], thermal actuator [9], electromagnetic actuator [10-12], electro-conjugate fluids [13], artificial muscle [14,15], etc. Compared to other operating principles, it is possible to achieve a large actuation power by fluidic pressure. However, there are some technical challenges: bulky size [3-7], degradation [3-7], limited stable working range [8], slow response time [9], large power consumption [10-12], high operating voltage [13,14] and weak actuation power [13-15].

In this paper, we demonstrate an adaptive liquid lens actuated by LC pistons. It adopts fluid pressure introduced by the reciprocating movement of LC droplets to regulate the liquid-air interface which, in turn, changes the optical power of the resultant liquid lens. The lens cell consists of a top acrylic slab drilled with an aperture hole and several reservoir holes, and a bottom glass slab with interdigitated indium tin oxide (ITO) electrodes. In each reservoir hole, a small volume of LC forms a pillar-like droplet and touches the bottom slab. The surrounding liquid forms a lens shape at the aperture hole. As the voltage increases, the LC in contact with the bottom substrate is stretched by the dielectric force and an extra volume of LC is pulled into the lens chamber through the reservoir

holes. The exerted fluidic pressure leads to a change in the lens profile and the corresponding optical power. Upon removing the voltage, the LC droplet returns to the original state and the lens also recovers. The LC droplet with such a reciprocating movement functions like a piston and can effectively tune the optical power of the liquid lens. This actuation method works for lens and lens array with aperture size varying from micrometers to centimeters.

Compared with other fluidic pressure-actuated liquid lenses [3-15], our lens has the competitive advantages in compact size, simple structure, reasonably fast response time and low power consumption. It does not require complicated external control systems, e.g. fluidic circulation/mechanical movement/temperature controller. Therefore, it can be readily integrated into existing opto-electronic and microfluidic systems. Since the actuation power can be enhanced by increasing the number of LC pistons rather than the operating voltages, it is possible to significantly actuate a lens array at a relatively low operating voltage. Furthermore, it can have an aperture opening of any shape (e.g. spherical lens with a circular aperture, cylindrical liquid lens with a rectangular aperture). Lenticular microlens array based on this actuation method is promising for 3D imaging processing.

2. Device structure and operating mechanism

Figure 1 shows the structure of the proposed adaptive liquid lens. The lens cell consists of a top acrylic slab and a bottom glass slab. One aperture hole and two reservoir holes are drilled on the top slab, both surfaces of the top slab are coated with polydimethylsiloxane (PDMS, surface tension $\gamma_{PDMS} \sim 20$ mN/m, thickness ~ 1 μ m), as Fig. 1(a) shows. The detailed layout of bottom substrate is shown in Fig. 1(b). The inner surface of the bottom slab is coated with ITO electrodes (marked as pink) and a Teflon layer ($\gamma_T \sim 19$ mN/m, ~ 1 μ m thickness, marked as gray) in sequence. It helps to provide a suitable contact angle for the LC droplet on the bottom slab and prevent the carrier injection [21]. A small amount of LC forms a pillar-like droplet in each reservoir hole, which is in contact with the bottom slab. To lower the operating voltage, here we chose Merck nematic LC mixture ZLI-4389 ($\epsilon_r=56$, $\Delta\epsilon=45.6$, $\gamma=38$ mN/m, $\langle n \rangle \sim 1.58$, $\rho \sim 0.98$ g/cm³) because it has a large dielectric constant and a low surface tension [21]. The surrounding is filled with immiscible liquid (L_s) silicone oil ($\epsilon \sim 2.9$, $\gamma \sim 21$ mN/m, $n \sim 1.4$, $\rho \sim 0.97$ g/cm³), which forms a lens shape on the aperture hole [Fig. 1(c)]. In the voltage-off state, the LC segment exhibits a near-spherical shape on the top slab due to the hydrophobic property of PDMS, and the LC droplet in the chamber has a minimal surface-to-volume ratio. When a voltage is applied to the bottom electrodes, a nonuniform lateral electric field is generated across the ITO stripes and a dielectric force is exerted on the LC- L_s interface [22]. As the voltage increases, the LC molecules at the droplet border near the bottom slab (within the penetration depth of the electric fields) are reoriented by fringing field, leading to a much larger dielectric constant (close to $\epsilon_r=56$) than that of the silicone oil. Therefore, the LC near the bottom slab

bears the strongest dielectric force. The force is pointed outwards, but only the horizontal component will deform the droplet. If the voltage is sufficiently high, the LC will be stretched outward along the electrodes (x -direction) in order to reach a new balance between the interfacial tension and dielectric force [23]. As a result, an extra volume of LC is pulled into the chamber, which in turn pushes the silicone oil to overflow towards the aperture hole. Because the volumes of liquids (LC and L_s) are not constricting, the redistribution of liquids changes the liquid-air interface at the aperture hole and the optical power of the resultant liquid lens [Fig. 1(d)]. Since the LC droplets are stretched along x -direction, there is no crosstalk between the LC droplets and liquid droplet. Upon removing the voltage, the LC droplet will return to its original state because of the interfacial tension, and so does the liquid lens.

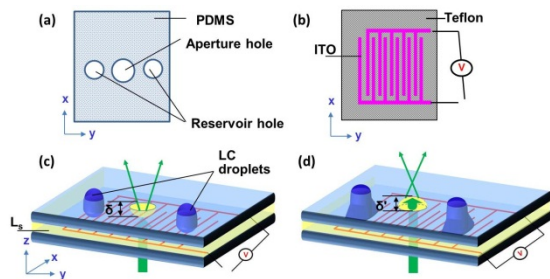


Figure 1. (a) The layout of lens hole and reservoir holes on the top acrylic slab, (b) the layout of the interdigitated ITO electrode and Teflon layer on the bottom glass slab (c) liquid lens and LC pistons are at rest state, and (d) actuated state.

3. Results

To prove concept, we fabricated a liquid lens actuated by four LC pistons, as shown in Fig. 2(a). The diameters of the four reservoir holes and the aperture hole are 1.5 mm and 2 mm, respectively. The thickness of the top slab is 1 mm, and the cell gap is 0.5 mm. The total thickness of the cell is ~ 2.5 mm. The width and gap of the interdigitated ITO electrodes are both $10 \mu\text{m}$. The image performance of the piston-actuated lens was evaluated through an optical microscope. The cell was horizontally placed on the microscope stage, and a small number “3” was placed under the cell as an object. Figure 2(b) is the image observed through the microscope without the liquid lens. After inserting the liquid lens in the optical path and refocusing the microscope, a virtual erect and diminished image is observed [Fig. 2(c)], which indicates the liquid lens has a negative optical power at $V=0$. Then the image begins to grow at $\sim 40 V_{\text{rms}}$, and keeps growing when the voltage is further increased. It is because more LC is pulled into the lens chamber with the increased voltage, the surface of the liquid lens becomes flatter and the optical power goes less negative (Figs. 2(e)-(g)). Some image aberration is observed at the border, because the aperture hole drilled on the top acrylic slab is not perfectly circular, and the defects in the circumference introduce the image aberration.

At $V=80V_{\text{rms}}$, the erected image is magnified, implying the liquid lens exhibits a positive optical power [Fig. 2(h)]. The image is a little bit blurry, because it is out of the microscope’s working range. Further increase of the positive optical power is quite limited even when the voltage keeps increasing. A possible reason is explained as follows. Due to our facility limitation, we can only drill holes on the acrylic slab and coat PDMS material as the hydrophobic layers, because acrylic cannot withstand the high baking temperature of Teflon ($\sim 360^\circ\text{C}$). The relative low surface

tension of silicone oil ($\gamma \sim 21 \text{ mN/m}$) and PDMS ($\gamma \sim 20 \text{ mN/m}$) weaken the confinement of the liquid-air interface at the aperture hole. As a result, silicone oil droplet begins to spread on the top substrate when the voltage is further increased. To improve the lens’ performance and widen the dynamic range, the top slab is preferred to be a thin glass substrate (or silicon wafer) coated with high quality Teflon layer. The aperture holes should be fabricated in high precision [4] and the inner wall of the hole coated with a hydrophilic layer [24].

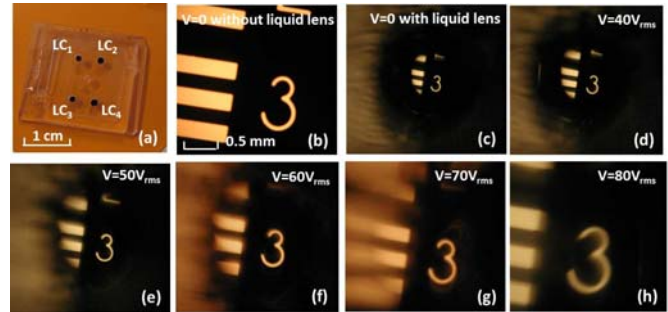


Figure 2. (a) Lens cell, (b) the images observed through the microscope without the liquid lens, and (c)-(h) with the liquid lens at the specified voltages.

The back focal distance (BFD) of the liquid lens at various voltages was measured at $\lambda=633 \text{ nm}$. The collimated and expanded He-Ne laser beam was normally incident on the lens. Here we intentionally set the exterior surface of the top slab as the last surface of the lens, because it was very difficult to measure the distance (δ and δ') between the apex of the liquid-air interface and that exterior surface accurately, as shown in Figs. 1(c)-(d). For the positive lens, the focal point was determined by the smallest focused point of the input beam along the optic axis, while for the negative lens, the BFD was determined by a geometrical imaging method [25]. At $V=0$, the BFD was calculated to be about -5.5 mm . As the voltage increases to $40 V_{\text{rms}}$ the BFD firstly goes to negative infinity, and then comes in from positive infinity to $\sim 66.5 \text{ mm}$ at $V=80 V_{\text{rms}}$, as Fig. 3(a) depicts. Response time was measured by monitoring the time-dependent transmittance change. Here we used a positive solid lens to converge the divergent beam coming from the liquid lens. At $V=0$, the photodiode detector placed close to the focal point of the two lens system receives the highest intensity. At $70V$ (500Hz) square voltage bursts, the liquid lens becomes less negative and the detected light intensity decreases. From Fig. 3(b), the fall and rise time are $\sim 15.2 \text{ ms}$ and 19.6 ms , respectively.

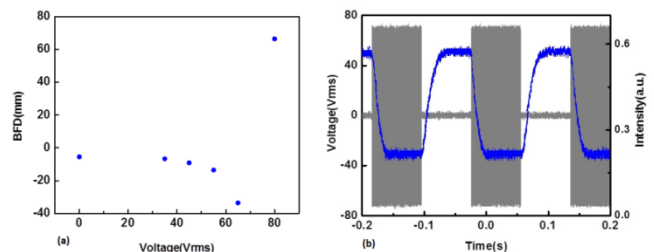


Figure 3. The measured (a) BFD and (b) response time under 70V square pulses.

Figures 4(a)-(b) show the deformation of LC pistons and images taken through the lens under white light illumination. For easy observation, we doped $\sim 0.3 \text{ wt\%}$ blue dye (M-137) into the LC

mixture. A small bunch of flower was picked as an object. At $V=0$, the four LC droplets were at rest state, and the object distance was intentionally adjusted to obtain an out-of-focus (blurred) image [Fig. 4(a)]. At $V=80V_{\text{rms}}$, the LC droplets were stretched along the electrodes, and the optical power adjustment brought the object into focus, forming a sharp and clear image [Fig. 4(b)]. The two sub-images in top red circles show the magnified images of the aperture hole, and those in pink squares show a side-view of the LC segments on the top substrate. At the rest state, the lens' resolution is ~ 13 lp/mm [26]. In our proposed liquid lens, the actuation power can be enhanced by increasing the number of LC pistons rather than the operating voltage, therefore, this actuation method is also favorable for large-aperture lens and lens array. Because of the limited electrodes area on the bottom substrate, here we just demonstrate a lens cell in which two liquid lenses are actuated by four LC pistons. All the dimensions are the same as that of the lens cell shown in Fig. 2(a). As the voltage increases, the optical power of the two lenses is tuned from negative to positive, since the initial diminished images become magnified at $80 V_{\text{rms}}$ as shown in Figs. 4(c)-(d). For easy observation, the aperture holes are circled by blue curves.

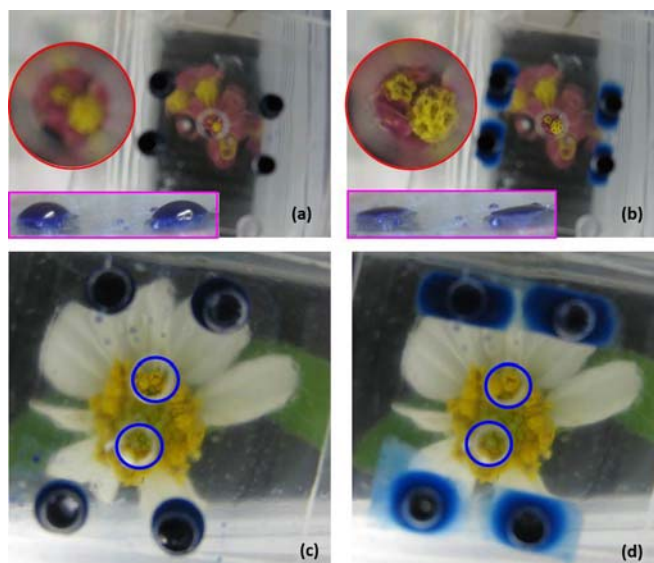


Figure 4. Single liquid lens actuated by four LC pistons at (a) $V=0$ and (b) $V=80V_{\text{rms}}$, and two liquid lenses actuated by four LC pistons at (c) $V=0$ and (d) $V=80 V_{\text{rms}}$.

4. Discussion

Figure 3(a) indicates that there is a threshold of $\sim 40 V_{\text{rms}}$ in the lens actuation. Since the actuation power can be enhanced by increasing the number of LC pistons rather than the operating voltage, it is critically important to reduce the threshold to achieve a low-voltage operation. For a single LC piston, the threshold depends on the exerted dielectric force and the interfacial tension along the three-phase contact line (Teflon-LC- L_s), which can be reduced by using narrower-gap stripe electrodes, surrounding liquid with smaller dielectric constant, smaller LC droplet and thinner Teflon layer. If the voltage is too high, crosstalk between two stretched LC droplets (e.g., LC_1 and LC_2 , or LC_3 and LC_4 in Fig. 2(a)) will appear and the actuation power will be severely degraded. In practical applications, the LC droplets should be separated by a black matrix in the chamber. The switching time is affected by the liquid interfacial tension and flow viscosity, and it

can be improved by using a surrounding liquid with lower viscosity. Overall speaking, to optimize the lens performance, cell configuration, e.g. the hole size, layout of the holes, cell gap, top slab thickness and electrodes pattern, need to be further studied. To achieve good mechanical stability, a third liquid which is immiscible and has good density match with both ZLI-4389 and silicone oil could be adopted in the lens cell. Its refractive index and surface tension should also be different from that of the silicone oil. Such a liquid helps to minimize the gravity effect and strengthen the liquid-liquid interface confinement at the aperture hole [25]. In our experiments, we use a liquid-air interface as the refractive surface, because it is difficult to find a third liquid which satisfies the above-mentioned criteria in our lab. Since the droplets in the demonstrated lens cell are in millimeter-scale, the lens works well in horizontal placement but gravity effect appears in vertical placement. For the micron-sized droplets, the surface tension dominates over the gravity, thus the microlens should be free from gravity effect even without the third liquid [27]. Meanwhile, lower operating voltage and faster response time are also expected [23]. Lenticular microlens array based on this actuation method is promising for 3D imaging processing.

5. Conclusion

We demonstrate an adaptive liquid lens actuated by LC pistons. The LC droplet with a reciprocating movement functions like a piston, which can effectively tune the lens surface and corresponding optical power. For a 2-mm-aperture lens actuated by four LC pistons, BFD is changed from -5.5 mm to infinity to ~ 66.5 mm as the voltage increases from zero to $80V_{\text{rms}}$. The competitive features are compact size, simple fabrication, good optical performance, lower power consumption (\sim mW) and reasonably fast switching time (~ 17 ms). Surface treatment and fine processing will help to improve the lens performance and widen the dynamic range. Since the actuation power can be enhanced by increasing the number of LC pistons rather than the operating voltages, it is possible to significantly actuate a microlens array at a relatively low operating voltage.

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7. References

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